



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Wai M. LEE et al.

Confirmation No.: To Be Assigned

Application No.: 10/694,999

Art Unit: To Be Assigned
(2823 in parent)

Filed: October 29, 2003

Examiner: To Be Assigned
(W. Coleman in parent)

For: APPARATUS FOR SUBSTRATE PRE-TREATMENT (as amended) Attorney Docket No.: 8317-226-999

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

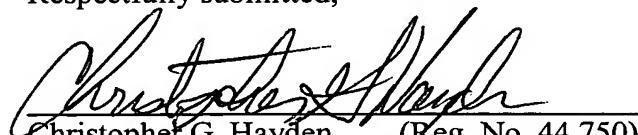
Sir:

Pursuant to applicants' duty of disclosure under 37 C.F.R. § 1.97 and 1.98, enclosed is a List of References Cited by Applicant list 15 references in chronological order for the Examiner's convenience. These references are listed on the enclosed Form PTO-1449. The listed references were references cited in the parent application number 09/874,330, filed on June 6, 2001.

It is respectfully requested that these references be made of record in this application by the Examiner's completion and return of the Form PTO-1449.

No fee is believed to be due for this submission of this response. Should any fee be required, however, please charge such fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,



Christopher G. Hayden (Reg. No. 44,750)

For: Victor N. Balancia (Reg. No. 31,231)

PENNIE & EDMONDS LLP
1667 K Street, N.W.
Washington, D.C. 20006
202-496-4400

Date: December 10, 2003



LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)	ATTY DOCKET NO.	APPLICATION NO
	8317-226-999	10/694,999
	APPLICANT Wai M. LEE et al.	
	FILING DATE October 29, 2003	GROUP (2823 in parent)

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
A01	4,770,590	09/1988	Hugues et al..	414	172	
A02	5,140,366	08/1992	Shiozawa et al.	355	53	
A03	5,178,989	01/1993	Heller et al.	430	323	
A04	5,272,099	12/1993	Chou et al.	437	41	
A05	5,292,558	03/1994	Heller et al.	216	13	
A06	5,486,176	01/1996	Shimizu et al.	606	71	
A07	5,534,312	07/1996	Hill et al.	427	533	
A08	5,627,087	05/1997	Hsu et al.	438	302	
A09	5,652,166	07/1997	Sun et al.	437	56	
A10	5,716,758	02/1998	Bae et al.	430	312	
A11	5,935,762	08/1999	Dai et al.	430	312	
A12	5,989,759	11/1999	Ando et al.	430	22	
A13	6,072,207	06/2000	Yoshimori et al.	257	295	
A14	6,307,087 B1	10/2001	Buchwald et al.	558	388	
A15	6,387,012	05/2002	Mitamura, Satoshi	427	100	
A16						
A17						
A18						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES
B01						
B02						

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

C01	Copy of the International Search Report (PCT/US02/17588), dated September 11, 2002
C02	
C03	
C04	

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.